

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8877804
<b>Application Number:</b>	09685398
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7911
<b>Title of Invention:</b>	SYSTEM AND METHOD FOR AUTOMATICALLY REBALANCING PORTFOLIOS BY SINGLE RESPONSE
<b>First Named Inventor/Applicant Name:</b>	James Richard Kraemer
<b>Customer Number:</b>	47121
<b>Filer:</b>	John Robert Pivnichny/Mary Worden
<b>Filer Authorized By:</b>	John Robert Pivnichny
<b>Attorney Docket Number:</b>	RSW9-2000-0107-US1
<b>Receipt Date:</b>	19-NOV-2010
<b>Filing Date:</b>	10-OCT-2000
<b>Time Stamp:</b>	15:19:56
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	1825
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1_Related_DS_11192010.pdf	37047 b53fbab9920ef94ee90d021cb75e9e9f5ec880	no	4

### Warnings:

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2	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1_IDS_statement_11192010.pdf	13906 26fbff07d724a1172e92e88eb5b5d0d81026ed45c6	no	1
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3	NPL Documents	RSW920000107US1_Maurer_NPL_PA.pdf	1312725 aa5a96e020ba621ad738614a5107e8fb1b28ba5c250c	no	22
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### Warnings:

### Information:

4	NPL Documents	Application_Number_60450664_Application_filed_03032003.pdf	381861 e046cc031a70a5d200ea9e92999de3d899e1x02f72	no	12
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### Warnings:

### Information:

5	Fee Worksheet (PTO-875)	fee-info.pdf	30648 fd0bfe0ee4e1a437322720237802157ca0be1	no	2
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Total Files Size (in bytes):	1776187
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.